

2/25/04  
PH  
#19

PATENT

Application Serial No.: 09/542,676  
Attorney Docket No.: 96-059-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

FEB 18 2004

OFFICIAL

Applicants: WALKER et al.

Customer No.: 22927

Application No.: 09/542,676

Filed: April 3, 2000

For: METHOD AND DEVICE FOR  
GENERATING A SINGLE-USE  
FINANCIAL ACCOUNT NUMBER

) Group Art Unit: 3628

) Examiner: Frantzy Poinvil


) RE-SUBMISSION OF  
) INFORMATION DISCLOSURE  
) STATEMENT(S) IN COMPLIANCE  
) WITH 37 C.F.R. 1.98(a)(1)

) Attorney Docket No. 96-059-1

) Walker Digital, LLC

) (203) 461-7000/voice  
) (203) 461-7300/fax

## CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being  
transmitted by facsimile to the United States Patent and  
Trademark Office, OPR (Fax No. 703-872-9326) on  
February 18, 2004.Dated: 02/18/04 By: 

Michael D. Brinton

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Paragraph 1 of Office Action mailed on October 14, 2003 (Paper No. 18) makes reference to the Information Disclosure Statements filed on February 19, 2003 and July 1, 2003. The Examiner noted that Information Disclosure Statements have not been considered because they fail to comply with 37 C.F.R. 1.98(a)(1).

PATENT

Application Serial No.: 09/542,676  
Attorney Docket No.: 96-059-1

Applicants re-submit herewith copies of the Information Disclosure Statements as filed on February 19, 2003 and July 1, 2003 and respectfully request the Examiner's consideration of the information referred to therein.

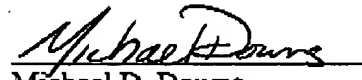
### CONCLUSION

While no fees are believed to be due for this Re-Submission of Information Disclosure Statement(s), Applicants hereby authorize the Commissioner to charge any additional fees which may be required for this Re-Submission of Information Disclosure Statement(s) to Deposit Account No. 50-0271.

If there are any question regarding this Re-Submission or the present application, the Examiner is cordially invited to contact Michael D. Downs at telephone number (203) 461-7292 or via electronic mail at [Mdowns@walkerdigital.com](mailto:Mdowns@walkerdigital.com)

Respectfully submitted,

February 18, 2004  
Date

  
Michael D. Downs  
Attorney for Applicants  
Registration No. 50,252  
Walker Digital, LLC  
[Mdowns@walkerdigital.com](mailto:Mdowns@walkerdigital.com)  
(203) 461-7292/voice  
(203) 461-7300/fax



PATENT

Appucation Serial No.: 09/542,676  
Attorney Docket No.: 96-059-1

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): \_\_\_\_\_
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): \_\_\_\_\_.
4. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:  
  
☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.  
  
☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

## PATENT

Application Serial No.: 09/542,676  
Attorney Docket No.: 96-059-1

☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.

5. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. ☒ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.

7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

PATENT

Appucation Serial No.: 09/542,676  
Attorney Docket No.: 96-059-1

- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
- ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

9. ☐ I hereby certify:

- ☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.
- ☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☒ Please accept payment of the fees due as indicated below:

- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).
- ☒ The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

PATENT


Application Serial No.: 09/542,676  
Attorney Docket No.: 96-059-1

11. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

12. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

February 13, 2003  
Date

  
\_\_\_\_\_  
Michael D. Downs  
Attorney for Applicants  
Registration No. 50,252  
Mdowns@WalkerDigital.com  
(203) 461-7292/phone  
(203) 461-7300 /fax

Transmittal

Page 1 of 2

## TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	METHOD AND DEVICE FOR GENERATING A SINGLE-USE FINANCIAL ACCOUNT NUMBER	
Application Number: 09/542676 *09/542676*		
Date: 2000-04-03		
First Named Applicant: Jay S. WALKER		
Confirmation Number: 4522		
Attorney Docket Number: 96-059-1		
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>		
Submitted by:		Elec. Sign.
Michael D. Downs Registered Number: 50,252		/MDD/  Attorney

**COPY**



**Documents being submitted**

us-fee-sheet

us-ids

**Files**

96-059-1SIDS07.01.03-usfees.xml

us-fee-sheet.xml

us-fee-sheet.dtd

96-059-1SIDS07.01.03-usidst.xml

us-ids.dtd

us-ids.xml

**Comments**

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose. The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner. ☐ No fee is believed to be due under 37 C.F.R. Section 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with: ☐ 37 C.F.R. Section 1.97(b)(1), within three months of the filing date of the above-identified application. ☐ 37 C.F.R. Section 1.97(b)(2), within three months of the date of entry into the national stage as set forth in Section 1.491. ☐ 37 C.F.R. Section 1.97(b)(3), before the mailing date of a first Office Action on the merits. ☐ 37 C.F.R. Section 1.97(b)(4), before the mailing of a first Office action after the filing of a request for continued examination under Section 1.114. ☐ On the same day as a continuation of a previously paid-for Information Disclosure Statement of the same patent application.

Fee transmittal

Page 1 of 1

## FEE TRANSMITTAL

Electronic Version v08

Stylesheet Version v08.0

Title of Invention	METHOD AND DEVICE FOR GENERATING A SINGLE-USE FINANCIAL ACCOUNT NUMBER										
Application Number: 09/542676 *09/542676*											
Date: 2000-04-03											
First Named Applicant: Jay S. WALKER											
Attorney Docket Number: 96-059-1											
Art Unit: 3628											
Examiner: Thach H. Bui											
<b>TOTAL FEE AUTHORIZED \$180</b>											
Patent fees are subject to annual revisions on or about October 1st of each year.											
<b>BASIC FILING FEE</b>											
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>				Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$								
Submission Of Information Disclosure Stmt Fee	1806	180	180								
<b>AUTHORIZED BILLING INFORMATION</b>											
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:											
Deposit account number: 500271											
Access Code ****											
Deposit name: Walker Digital, LLC											
Deposit authorized name: Michael D. Downs											
Signature: /MDD/											
Date (YYYYMMDD): 2003-07-01											
Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.											

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention**METHOD AND DEVICE FOR GENERATING A SINGLE-USE  
FINANCIAL ACCOUNT NUMBER**

Application Number: 09/542676 \*09/542676\*  
Confirmation Number: 4522  
First Named Applicant: Jay WALKER  
Attorney Docket Number: 96-059-1  
Art Unit: 3628  
Examiner: Thach H. Bui  
Search string: ( 4629874 or 6064879 or 6202055 ).pn.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4629874	1986-12-16	Pugsley et al.			
	2	6064879	2000-05-16	Fujiwara et al.			
	3	6202055	2001-03-13	Houvener et al.			

**Signature**

Examiner Name	Date

Acknowledgement Receipt

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1

Stylesheet Version v1.1.1

Title of Invention	METHOD AND DEVICE FOR GENERATING A SINGLE-USE FINANCIAL ACCOUNT NUMBER																																		
Submission Type: Information Disclosure Statement																																			
Application Number:	09/542676	*09/542676*																																	
EFS ID:	42739																																		
Server Response:	<table border="1"><thead><tr><th>Confirmation Code</th><th>Message</th></tr></thead><tbody><tr><td>ISVR1</td><td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td></tr><tr><td>ICON1</td><td>4522</td></tr><tr><td>ISYS5</td><td>Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.</td></tr></tbody></table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	4522	ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.																									
Confirmation Code	Message																																		
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application																																		
ICON1	4522																																		
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmation/Application numbers at this time. They will be checked by PTO personnel later.																																		
First Named Applicant: Jay WALKER																																			
Attorney Docket Number: 96-059-1																																			
Timestamp: 2003-07-01 14:21:58 EDT																																			
From: us																																			
File Listing:	<table border="1"><thead><tr><th>Doc. Name</th><th>File Name</th><th>Size (Bytes)</th></tr></thead><tbody><tr><td>us-fee-sheet</td><td>96-059-1SIDS07.01.03-usfees.xml</td><td>1602</td></tr><tr><td>us-fee-sheet</td><td>us-fee-sheet.xml</td><td>24912</td></tr><tr><td>us-fee-sheet</td><td>us-fee-sheet.dtd</td><td>10901</td></tr><tr><td>us-ids</td><td>96-059-1SIDS07.01.03-usidst.xml</td><td>1340</td></tr><tr><td>us-ids</td><td>us-ids.dtd</td><td>7763</td></tr><tr><td>us-ids</td><td>us-ids.xml</td><td>12026</td></tr><tr><td>package-data</td><td>96-059-1SIDS07.01.03-pkda.xml</td><td>3737</td></tr><tr><td>package-data</td><td>package-data.dtd</td><td>27025</td></tr><tr><td>package-data</td><td>us-package-data.xml</td><td>19263</td></tr><tr><td colspan="2">Total files size</td><td>108569</td></tr></tbody></table>		Doc. Name	File Name	Size (Bytes)	us-fee-sheet	96-059-1SIDS07.01.03-usfees.xml	1602	us-fee-sheet	us-fee-sheet.xml	24912	us-fee-sheet	us-fee-sheet.dtd	10901	us-ids	96-059-1SIDS07.01.03-usidst.xml	1340	us-ids	us-ids.dtd	7763	us-ids	us-ids.xml	12026	package-data	96-059-1SIDS07.01.03-pkda.xml	3737	package-data	package-data.dtd	27025	package-data	us-package-data.xml	19263	Total files size		108569
Doc. Name	File Name	Size (Bytes)																																	
us-fee-sheet	96-059-1SIDS07.01.03-usfees.xml	1602																																	
us-fee-sheet	us-fee-sheet.xml	24912																																	
us-fee-sheet	us-fee-sheet.dtd	10901																																	
us-ids	96-059-1SIDS07.01.03-usidst.xml	1340																																	
us-ids	us-ids.dtd	7763																																	
us-ids	us-ids.xml	12026																																	
package-data	96-059-1SIDS07.01.03-pkda.xml	3737																																	
package-data	package-data.dtd	27025																																	
package-data	us-package-data.xml	19263																																	
Total files size		108569																																	
Message Digest: 265afd1a9ed18eb1d75931ab426f3a5f91df4610																																			

Acknowledgement Receipt

Page 2 of 2

Digital Certificate Holder Name:	cn=Michael David Downs,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US
-------------------------------------	---